Smokejumper Readiness Assessments

Scope evidence and execution

Complete this workbook with the people who know the contract requirements and how the environment operates. Use evidence references rather than uploading or copying sensitive information.

Assessment record	Complete before starting
Organization or alias	_____
Scope and system boundary	_____
Respondent roles and date	_____
Contracts and security reviewers	_____
Contract requirement reference	_____

How to answer

For the scope check, choose Confirmed, Not yet or Unknown. For the evidence and execution reviews, use D = Demonstrated, P = Partial, A = Absent, U = Unknown. Use D only when you can identify current supporting evidence for the full question.

A blank is incomplete. Unknown is a completed answer that requires investigation. Where a service is not used, document that fact and the boundary supporting it rather than assuming the question is irrelevant. Do not upload CUI, credentials, system configurations or vulnerability details into a public assessment.

This workbook is a planning aid, not a formal CMMC self-assessment or certification. No result should be submitted to SPRS.

Assessment 1 Scope and obligations

Six questions establish whether the team has enough context to interpret the evidence review. Circle C for Confirmed, N for Not yet or U for Unknown.

S01 Have you reviewed the current contract or subcontract clauses and any relevant amendments

C / N / U Evidence reference or next action: _____

Locate the controlling contract language and record who will resolve any uncertainty.

S02 Have you determined whether the work involves Federal Contract Information or Controlled Unclassified Information

C / N / U Evidence reference or next action: _____

Confirm information categories with the contracts and security leads. Do not infer CUI solely from a filename or marking absence.

S03 Have you mapped where the relevant information is received, stored, processed and transmitted

C / N / U Evidence reference or next action: _____

Trace representative information flows, including email, endpoints, applications, backups and sharing.

S04 Have you included external providers, subcontractors and connections in that review

C / N / U Evidence reference or next action: _____

Identify the parties and services that handle information or provide security functions.

S05 Have you assigned a contracts owner, security owner and executive decision maker

C / N / U Evidence reference or next action: _____

Name the people responsible for interpretation, technical evidence and funding decisions.

S06 Have you documented which assessment, submission and affirmation requirements currently apply

C / N / U Evidence reference or next action: _____

Verify the current route against contract terms and official guidance; do not rely on an old deadline slide.

Result rule: any N or U means Clarify scope first. All six C means Scope documented for this screening. Neither result determines the legally applicable CMMC level or proves compliance.

Assessment and scope

Suggested participants: Contracts lead and security lead.

Related requirements: S2 S3 3.12.1 and 3.12.4 S5. These are topic references, not a complete assessment crosswalk.

R01 Have contracts and data flows been reviewed to define the applicable requirements and system boundary

D / P / A / U

Evidence to look for: Dated contract review, inventory and data flow map; system security plan owner.

If unresolved: Map information from receipt to storage, use, transfer and disposal; resolve uncertain requirements with the contracts lead.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

R02 Can the team trace its current assessment results and applicable SPRS entries and affirmations to supporting evidence

D / P / A / U

Evidence to look for: Assessment date, scope, evidence index and submission or affirmation records, as applicable.

If unresolved: Reconcile the assessment to the actual boundary and verify the applicable submission and affirmation obligations.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

Reviewer notes and dependencies

_____

_____

Cloud and provider responsibilities

Suggested participants: Security lead and procurement.

Related requirements: S4 paragraph b 2 ii D. These are topic references, not a complete assessment crosswalk.

R03 Have external cloud services that handle covered defense information been identified and their applicable FedRAMP Moderate authorization or equivalency basis verified

D / P / A / U

Evidence to look for: Service and tenant inventory; applicable authorization or equivalency evidence; documented basis if no relevant cloud service is used.

If unresolved: Identify covered information in cloud services and verify the exact offering and applicable Moderate security basis.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

R04 Are customer and provider responsibilities documented and supported by evidence for the services in scope

D / P / A / U

Evidence to look for: Responsibility matrix, service terms, evidence access and incident coordination arrangements.

If unresolved: Assign each responsibility to a named party and obtain the evidence needed to verify its operation.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

Reviewer notes and dependencies

_____

_____

Incident response

Suggested participants: Security lead and executive sponsor.

Related requirements: S3 3.6.1 to 3.6.3 S4 paragraphs c to g. These are topic references, not a complete assessment crosswalk.

R05 Is an incident response process in place with named decision makers and applicable reporting and preservation steps

D / P / A / U

Evidence to look for: Approved response procedure, contacts, decision authority and reporting checklist.

If unresolved: Name the incident leader and backup, verify reporting access and document escalation and preservation responsibilities.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

R06 Has the response capability been tested and have exercise findings been assigned and followed up

D / P / A / U

Evidence to look for: Dated exercise record, participants, lessons and tracked corrective actions.

If unresolved: Run an exercise using a realistic scenario and assign each corrective action an owner and completion evidence.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

Reviewer notes and dependencies

_____

_____

Configuration and changes

Suggested participants: IT operations and system owners.

Related requirements: S3 3.4.1 to 3.4.5. These are topic references, not a complete assessment crosswalk.

R07 Are current system inventories and configuration baselines maintained for the environment in scope

D / P / A / U

Evidence to look for: Inventory, baseline settings, responsible owners and review dates.

If unresolved: Reconcile the actual environment with its inventory and baseline configurations.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

R08 Are relevant changes reviewed for security impact, authorized and recorded with implementation evidence

D / P / A / U

Evidence to look for: Change requests, impact reviews, approvals, test records and rollback arrangements.

If unresolved: Trace a recent change end to end and close missing approvals or implementation records.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

Reviewer notes and dependencies

_____

_____

Monitoring and visibility

Suggested participants: Security operations and service providers.

Related requirements: S3 3.12.3 3.14.6 3.14.7. These are topic references, not a complete assessment crosswalk.

R09 Are monitoring coverage and responsibilities defined for the systems and connections in scope

D / P / A / U

Evidence to look for: Coverage inventory, monitoring configuration, review responsibilities and exceptions.

If unresolved: Compare monitored assets with the scope inventory and assign owners to uncovered areas.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

R10 Can the team demonstrate that relevant alerts are reviewed, investigated and escalated when needed

D / P / A / U

Evidence to look for: Recent alert review records, escalation examples and documented dispositions.

If unresolved: Walk a sample alert through the process and repair gaps in review or escalation.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

Reviewer notes and dependencies

_____

_____

Vulnerabilities and remediation

Suggested participants: Security lead and system owners.

Related requirements: S3 3.11.2 3.11.3. These are topic references, not a complete assessment crosswalk.

R11 Are systems and applications scanned periodically and when newly identified vulnerabilities warrant it

D / P / A / U

Evidence to look for: Scan scope, schedule, recent results and the process for new vulnerability information.

If unresolved: Verify scanning covers the relevant environment and establish the required cadence and event triggers.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

R12 Are vulnerabilities prioritized, assigned and tracked through remediation and verification

D / P / A / U

Evidence to look for: Finding register, risk decisions, owners, due dates and rescan or equivalent closure evidence.

If unresolved: Review overdue findings, resolve dependencies and verify remediation rather than closing tickets on assertion.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

Reviewer notes and dependencies

_____

_____

Access and least privilege

Suggested participants: IT identity lead and business managers.

Related requirements: S3 3.1.1 3.1.2 3.1.5 3.5.3 3.9.2. These are topic references, not a complete assessment crosswalk.

R13 Are access rights limited to authorized users and appropriate job functions, including privileged accounts

D / P / A / U

Evidence to look for: Role and access matrix, account approvals, privilege reviews and sampled configurations.

If unresolved: Review privileged accounts first, remove unnecessary rights and record the business owner's decisions.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

R14 Are authentication requirements and access changes for joiners, movers and leavers implemented and evidenced

D / P / A / U

Evidence to look for: Applicable multifactor authentication configuration; recent onboarding, transfer and removal records.

If unresolved: Verify authentication coverage and test that a staff departure or role change removes obsolete access.

Evidence reference and date: _____

Observed gap or uncertainty: _____

Owner and target date: _____

Reviewer notes and dependencies

_____

_____

Assessment 3 Ability to execute

This separate review measures planning discipline. It does not contribute to the security evidence index. Use D / P / A / U with the same evidence standard.

E01 Is the business objective for the readiness work specific and agreed by leadership

D / P / A / U Owner or evidence: _____

Record the contract, customer obligation or operating outcome that makes the work necessary.

E02 Does each priority gap have a named accountable owner and a defined completion test

D / P / A / U Owner or evidence: _____

Assign one accountable owner and evidence that will show the work is complete.

E03 Are people, cash costs, recurring costs and time commitments estimated

D / P / A / U Owner or evidence: _____

Separate outside fees from employee effort, recurring services and opportunity cost.

E04 Are dependencies and sequencing visible across IT, operations, procurement and leadership

D / P / A / U Owner or evidence: _____

Identify which decisions or fixes must happen before later work can succeed.

E05 Are employee workflow changes, training and adoption checks included

D / P / A / U Owner or evidence: _____

Define how affected staff will learn the new process and how its use will be checked.

E06 Is there a recurring review of evidence, unresolved risks and progress against the plan

D / P / A / U Owner or evidence: _____

Set a review owner and cadence; compare planned completion with verified outcomes.

Interpret the result and assign action

The evidence index

Convert each of the 14 R responses to points: D = 2, P = 1, A = 0 and U = 0. Divide the total by 28 and multiply by 100; round to the nearest whole number. Report D, P, A and U counts alongside the index. Unknown is scored as unverified evidence, not as a proven control failure.

Label	Rule and response
Incomplete	One or more questions unanswered. Finish before issuing a result.
Action required	At least one A. Review the known weaknesses and scope before planning closure.
Verification needed	No A, but at least one P or U. Validate the partial or uncertain areas.
Evidence reported	All 14 D. Validate the evidence and the full applicable requirement set.

Scope overrides the interpretation: if any S response is N or U, show Clarify scope first even when the evidence index is high. All 14 D does not establish CMMC compliance. The separate execution index is total E points divided by 12, multiplied by 100; use it to organize planning improvements.

Record the management result

Scope result: _____ Evidence index: _____ / 100
D: ____ P: ____ A: ____ U: ____ Execution index: _____ / 100

Priority action	Accountable owner	Due date and closure proof
1 _____	_____	_____
2 _____	_____	_____
3 _____	_____	_____

Suggested order: resolve scope uncertainty, address known weaknesses, verify unknowns and finish partial work. The security lead should override that order when actual exposure or a contract deadline justifies it.